



May 23, 2022

Company name: **C. Uyemura & Co., Ltd.**
Stock code: 4966
Listing: Standard Market of the Tokyo Stock Exchange
Representative: Hiroya Uyemura, President
Contact: Shigeo Sakabe, Managing Director and Head of Corporate Management Division
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Notice of Changes in Major Shareholders

(Schroder Investment Management Limited Co., Ltd.)

C. Uyemura & Co., Ltd. (the "Company") announces that there have been changes in the Company's major shareholders as of January 30, 2015 and June 30, 2021, as described below.

The Company sincerely apologizes for failing to make disclosure of information concerning changes in its major shareholders, which should have been announced without delay.

1. Events leading to the changes

As of February 4, 2015 and July 6, 2021, Schroder Investment Management Co., Ltd. submitted to the Kanto Local Finance Bureau a change report with respect to the Large Shareholding Report related to the Company and as a result, the Company confirmed certain changes in its major shareholders.

2. Overview of major shareholder

① As of January 30, 2015

(1)	Name	Schroder Investment Management Co., Ltd.
(2)	Location	1-8-3 Marunouchi, Chiyoda-ku, Tokyo
(3)	Name and title of representative	President Guy Henriques
(4)	Type of business	Investment Management Business, Type II Financial Instruments Business, Investment Advisory and Agency Business

② As of June 30, 2021

(1)	Name	Schroder Investment Management Co., Ltd.
(2)	Location	1-8-3 Marunouchi, Chiyoda-ku, Tokyo
(3)	Name and title of representative	President Noriaki Kurose
(4)	Type of business	Investment Management Business, Type II Financial Instruments Business, Investment Advisory and Agency Business

3. Number of voting rights (number of shares) held by said shareholder before and after the shareholdings change and the percentage of ownership in relation to the number of voting rights of all shareholders

① As of January 30, 2015

	Number of voting rights (Number of shares held)	Percentage of ownership in relation to the number of voting rights of all shareholders	Shareholding rank among major shareholders
Before shareholdings change (As of October 31, 2014)	8,727 (872,700 shares)	9.59%	—
After shareholdings change (As of January 30, 2015)	9,837 (983,700 shares)	10.81%	—

(Note)

1. Before shareholdings change: The number of shares which do not have voting rights and have been subtracted from the total number of issued shares 779,640 shares
Total number of issued shares as of September 30, 2014 9,878,040 shares
2. After shareholdings change: The number of shares which do not have voting rights and have been subtracted from the total number of issued shares 779,640 shares
Total number of issued shares as of September 30, 2014 9,878,040 shares
3. The percentage of ownership in relation to the number of voting rights of all shareholders is calculated by rounding off fractions to the second decimal place.
4. The foregoing information is stated based on a change report with respect to the Large Shareholding Report submitted by said shareholder, and the Company has not confirmed the number of shares in beneficial ownership. Therefore, the disclosure of shareholding rank among major shareholders is omitted.

② As of June 30, 2021

	Number of voting rights (Number of shares held)	Percentage of ownership in relation to the number of voting rights of all shareholders	Shareholding rank among major shareholders
Before shareholdings change (As of September 25, 2020)	9,408 (940,800 shares)	10.61%	—
After shareholdings change (As of June 30, 2021)	17,237 (1,723,700 shares)	9.76%	—

(Note)

1. Before shareholdings change: The number of shares which do not have voting rights and have been subtracted from the total number of issued shares 1,012,940 shares
Total number of issued shares as of March 31, 2020 9,878,040 shares
2. After shareholdings change: The number of shares which do not have voting rights and have been subtracted from the total number of issued shares 2,086,280 shares
Total number of issued shares as of March 31, 2021 19,756,080 shares
(With a record date of June 30, 2021, the Company executed a share split of common shares, at the ratio of two for one, held by shareholders listed or recorded in the shareholders' register as of the end of that date. Therefore, the number of shares subtracted from the total number of issued shares which do not have voting rights after the change and the total number of issued shares as of March 31, 2021 are calculated and presented as two shares for each share of common shares and calculated as a percentage of the total number of voting rights held by all shareholders.)
3. The percentage of ownership in relation to the number of voting rights of all shareholders is calculated by rounding off fractions to the second decimal place.
4. The foregoing information is stated based on a change report with respect to the Large Shareholding Report submitted by said shareholder, and the Company has not confirmed the number of shares in beneficial ownership. Therefore, the disclosure of shareholding rank among major shareholders is omitted.

4. Future outlook

This announcement is based on a change report with respect to the Large Shareholding Report of one shareholder and there is nothing specific to be noted regarding the future outlook.

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